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<b>TRADE MARK</b> <b>TRANSMITTAL</b> <b>FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/608,673
		Filing Date	June 27, 2003
		First Named Inventor	Chung, Chul
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission		Attorney Docket Number	G08.027/U

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Form PTO-1449, <u>9</u> non-patent literature cited references, and Acknowledgement Postcard.
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Kurt M. Maschoff
Signature	
Date	September 29, 2003

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: <span style="border: 1px solid black; padding: 2px;">September 29, 2003</span>			
Type or printed	Edith Martin		
Signature		Date	<span style="border: 1px solid black; padding: 2px;">September 29, 2003</span>

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: CHUNG

Serial No.: 10/608,673

Filing Date: June 27, 2003

For: METHOD AND APPARATUS FOR  
REFERENCE DATA SCRUBBING

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)  
) Examiner: Not yet assigned  
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) Group Art Unit: Not yet assigned  
)  
) Attorney Docket No.: G08.027/U  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicant submits herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

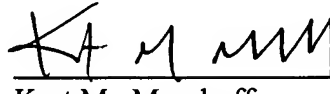
This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed within three months of the filing of the above-identified application.

Respectfully submitted,

September 29, 2003

Date

A handwritten signature in black ink, appearing to read 'K M Maschoff', written over a horizontal line.

Kurt M. Maschoff

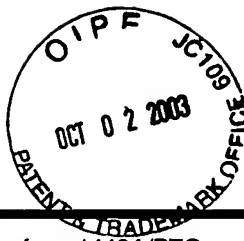
Registration No. 38,235

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

1

**Complete if Known**

Application Number	10/608,673
Filing Date	June 27, 2003
First Named Inventor	CHUNG
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	G08.027/U

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	Allen & Overy, Memorandum for ISDA Members: "Definition of "Fully Transferable Obligation" in the ISDA Restructuring Supplement", 27 November, 2001. 4pgs.	
	B	Allen & Overy, "An Introduction to the Documentation of OTC Derivatives: "Ten Themes"", May 2002. 7pgs.	
	C	"Sample form of Credit Derivative Confirmation", 10pgs.	
	D	Skora, Richard K., Article: "The Credit Default Swap", Skora & Company, Inc., RISK Publications, 1998. 8pgs.	
	E	"Restructuring Supplement to the 1999 ISDA Credit Derivatives Definitions", 4pgs.	
	F	"Commentary on Restructuring Supplement", 5pgs.	
	G	Insurance Journal, "Swiss Re Sues XL Over Armstrong Credit Swap", May 23, 2001, download from <a href="http://www.insurancejournal.com/news/newswire/international/2001/05/23/12939.html/print">http://www.insurancejournal.com/news/newswire/international/2001/05/23/12939.html/print</a> on 9/25/2003. 1pg.	
	I	Allen & Overy, "An Introduction to the Documentation of OTC Derivatives", May 2002. 12pgs.	
	J	ISDA, "Background Information - OTC Derivatives Documentation: Improving Counterparty Risk Management Practices", June 1999, 66pgs.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.